PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Requestion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 09/688,392 Application Number TRANSMITTAL October 16, 2000 Filing Date **FORM** Thomas C. Naratil **First Named Inventor** Group Art Unit 2161 (to be used for all correspondence after initial filing) JAN 1 4 2002 Mary Benton **Examiner Name** Technology Center 2:00 11 Total Number of Pages in This Submission Attorney Docket Number 4797-60 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Statement under 37 C.F.R. §3.73(b); Terminal Disclaimer Declaration of ownership pursuant to 37 **Express Abandonment Request** C.F.R. §2.20; Certificate of amendment Request for Refund from Delaware Secretary of State; Incumbency certificate; Request to Information Disclosure Statement CD, Number of CD(s) . change attorney docket number; Return receipt postcard. Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts

under 57 OF R 1.5		
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	er see
Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893	
Signature	Aslie S Rostano	; · · · · · · · · · · · · · · · · · · ·
Date	10/17/2001	<u> </u>

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 17, 2001

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In re Application of:

Thomas C. Naratil

Group Art Unit:

2161

Serial No.:

09/688,392

Examiner:

Mary Benton

Filed:

October 16, 2000

Docket No.

4797-60

For:

SYSTEM AND METHOD FOR TRADING TAXABLE AND

NON-TAXABLE SECURITIES

55 Madison Avenue Morristown, NJ 07960 September 28, 2001

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Assistant Commissioner for Patents Washington, D.C. 20231

JAN 1 4 2002

Technology Center 2100

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

Sir:

UBS PaineWebber Inc., a Delaware corporation, as assignee of record of the entire interest of the above patent application, U.S. Serial No. 09/688,392, hereby revokes all power of attorney previously given.

The following attorneys are hereby appointed with full power of substitution and revocation to prosecute and transact all business in the Patent and Trademark Office connected therewith: Leslie Gladstone Restaino, Reg. No. 38,893, Seth H. Ostrow, Reg. No. 37,410; Frank J. DeRosa, Reg. No. 26,543 and Ralph F. Hoppin, Reg. No. 38,494 of the firm Brown Raysman Millstein Felder & Steiner, LLP, 55 Madison Avenue, Suite 400, Morristown, New Jersey 07960, (973) 285-3222.

Direct all correspondence to:

Leslie Gladstone Restaino, Esq. Brown Raysman Millstein Felder & Steiner LLP 55 Madison Avenue Morristown, NJ 07960

Direct all telephone calls to (973) 285-3242.

The assignment of U.S. Serial No. 09/688,392 to PaineWebber Incorporated was recorded in the U.S. Patent and Trademark Office on October 16, 2000 at Reel 011255, Frame 0548.

Attached to this power of attorney is a Statement under 37 C.F.R. §3.73(b).

Associate General Counsel UBS PaineWebber Inc.